116H2979

(Original Signature of Member)

117th CONGRESS 1st Session



To improve diversity and inclusion in the workforce of national security agencies, and for other purposes.

IN THE HOUSE OF REPRESENTATIVES

Mr. CONNOLLY introduced the following bill; which was referred to the Committee on _____

A BILL

To improve diversity and inclusion in the workforce of national security agencies, and for other purposes.

- 1 Be it enacted by the Senate and House of Representa-
- 2 tives of the United States of America in Congress assembled,

3 SECTION 1. SHORT TITLE.

4 This Act may be cited as the "National Security Di-

5 versity and Inclusion Workforce Act of 2021".

6 SEC. 2. FINDINGS.

7 Congress finds the following:

8 (1) The greatest national asset of the United9 States in protecting the homeland and advancing the

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interests of the United States abroad is the talent
 and diversity of the national security workforce.

3 (2) The United States has made important
4 progress toward harnessing the extraordinary range
5 of backgrounds, cultures, perspectives, skills, and ex6 periences of the population of the United States to7 ward keeping the United States safe and strong.

8 (3) The 2015 National Security Strategy recog-9 nized that the diversity of the national security 10 workforce of the United States is a strategic asset 11 that enhances the ability of the United States to 12 lead on the global stage.

(4) In March 2011, the Military Leadership Diversity Commission demonstrated that minorities
and women are still underrepresented among the top
leadership of the Armed Forces, as compared with
the members they lead.

(5) Although African Americans, Latinos or
Hispanics, Native Americans, and Asians represent
34 percent of the workforce of the United States, in
2016, only 10 and 13 percent, respectively, of the
senior positions in the civil service and the Foreign
Service at the Department of State were occupied by
members of these groups.

(6) As of 2015, African Americans, Latinos or
 Hispanics, Native Americans, and Asians rep resented only 22 percent of the officer corps of the
 Armed Forces, far less than the enlisted forces they
 lead, 40 percent of the members of which are from
 these groups.

7 (7) In the intelligence community, African
8 Americans, Latinos or Hispanics, Native Americans,
9 and Asians represented 24 percent of the employees,
10 but only 11 percent of the senior positions.

(8) The percentages of Latinos or Hispanics as
part of the overall Federal workforce and in senior
positions in the Federal workforce are even lower, at
8 percent and 4 percent, respectively, as compared
to the general population of the United States,
which is 17 percent Latino or Hispanic.

(9) Latinos or Hispanics represented only a
fraction of the senior positions at the Department of
State, 3 percent and 5 percent, respectively, for positions in the civil service and Foreign Service, only 1
percent for the officer corps of the Armed Forces,
and 3 percent for senior positions in the intelligence
community.

24 (10) With regard to gender diversity, of the in-25 dividuals in senior positions in the civil service or the

1 Foreign Service at the Department of State 39 per-2 cent and 31 percent are female, respectively. For the 3 Department of Defense, 24 percent of the individuals in senior civilian positions are female, of the 4 5 senior grades of the Armed Forces, 8 percent of the 6 officers are female, and 12 percent of enlisted mem-7 bers of the Armed Forces are females. Of the indi-8 viduals in senior positions in the intelligence commu-9 nity, 29 percent are female, compared to the overall 10 Federal workforce, which is 33.7 percent female.

11 (11) In concert with the findings of the Military 12 Leadership Diversity Commission, the amendments made by section 519 of the National Defense Au-13 14 thorization Act for Fiscal Year 2013 (Public Law 15 112–239; 126 Stat. 1721) mandated that the Armed 16 Forces develop and implement a plan to accurately 17 measure the efforts of the Department of Defense 18 and Coast Guard to "achieve a dynamic, sustainable 19 level of members of the armed forces (including re-20 serve components) that, among both commissioned 21 officers and senior enlisted personnel of each armed 22 force, will reflect the diverse population of the 23 United States eligible to serve in the armed forces, 24 including gender specific, racial, and ethnic popu-25 lations.".

1 (12) The amendments made by section 1011 of 2 the Intelligence Reform and Terrorism Prevention 3 Act of 2004 (Public Law 108–458; 118 Stat. 3643) 4 called on the intelligence community to prescribe 5 personnel policies and programs that ensure its per-6 sonnel "are sufficiently diverse for purposes of the 7 collection and analysis of intelligence through the re-8 cruitment and training of women, minorities, and in-9 dividuals with diverse ethnic, cultural, and linguistic 10 backgrounds".

11 (13) The Department of State Authorities Act, 12 Fiscal Year 2017 (Public Law 114–323), urges the 13 State Department to promote a diverse representa-14 tion among mid- and senior-level career professionals 15 and section 101 of the Foreign Service Act of 1980 16 (22 U.S.C. 3901) urges the Department of State to 17 develop policies to encourage the entry into and ad-18 vancement in the Foreign Service by persons from 19 all segments of American society.

20 SEC. 3. STATEMENT OF POLICY.

21 It is the policy of the United States that—

(1) in order to protect the homeland and advance the interests of the United States abroad, national security agencies of the Federal Government

must have a workforce that reflects the rich com position and talent of its citizenry;

3 (2) the skills, knowledge, perspectives, ideas,
4 and experiences of all of the members of the work5 force of national security agencies contribute to the
6 vitality and success of their national security mis7 sion;

8 (3) promoting diversity and inclusion within the 9 national security workforce must be a joint effort 10 and requires engagement by senior leadership, man-11 agers, and the entire workforce, as well as effective 12 collaboration among those responsible for human re-13 sources, equal employment opportunity, and diver-14 sity and inclusion issues; and

(4) as the United States becomes more diverse
and the challenges it faces more complex, the United
States must continue to invest in policies to recruit,
retain, and develop the best and brightest from all
segments of the population of the United States.

20 SEC. 4. DEFINITIONS.

21 In this Act:

(1) APPLICANT FLOW DATA.—The term "applicant flow data" means data that tracks the rate of
applications for job positions among demographic
categories.

(2) APPROPRIATE CONGRESSIONAL COMMIT TEES.—The term "appropriate congressional com mittees" means—
 (A) the Committee on Foreign Relations,

the Committee on Armed Services, the Committee on Homeland Security and Governmental Affairs, the Select Committee on Intelligence, and the Committee on Appropriations
of the Senate; and

10 (B) the Committee on Foreign Affairs, the
11 Committee on Armed Services, the Committee
12 on Homeland Security, the Permanent Select
13 Committee on Intelligence, and the Committee
14 on Appropriations of the House of Representa15 tives.

16 (3) DIVERSITY.—The term "diversity" means
17 diversity of persons based on gender, race, ethnicity,
18 disability status, veteran status, sexual orientation,
19 gender identity, national origin, and other demo20 graphic categories.

(4) FOREIGN SERVICE.—The term "Foreign
Service" has the meaning given that term in section
102 of the Foreign Service Act of 1980 (22 U.S.C.
3902).

| 1 | (5) INTELLIGENCE COMMUNITY.—The term |
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| 2 | "intelligence community" has the meaning given |
| 3 | that term in section 3 of the National Security Act |
| 4 | of 1947 (50 U.S.C. 3003). |
| 5 | (6) NATIONAL SECURITY AGENCY.—The term |
| 6 | "national security agency" means— |
| 7 | (A) the Department of State; |
| 8 | (B) the United States Agency for Inter- |
| 9 | national Development; |
| 10 | (C) the Department of Defense; |
| 11 | (D) the Armed Forces; |
| 12 | (E) each element of the intelligence com- |
| 13 | munity; |
| 14 | (F) the Office of International Affairs and |
| 15 | the Office of Critical Infrastructure Protection |
| 16 | of the Department of the Treasury; |
| 17 | (G) the National Security Division of the |
| 18 | Department of Justice and the Federal Bureau |
| 19 | of Investigation; |
| 20 | (H) the Department of Homeland Secu- |
| 21 | rity; |
| 22 | (I) the Foreign Agricultural Service of the |
| 23 | Department of Agriculture; and |
| 24 | (J) any other Federal agency that is pri- |
| 25 | marily engaged in diplomacy, development, de- |

| 1 | fense, intelligence, law enforcement, or home- |
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| 2 | land security. |
| 3 | (7) Member of the foreign service.—The |
| 4 | term "member of the Foreign Service" means a |
| 5 | member of the Foreign Service described in section |
| 6 | 103 of the Foreign Service Act of $1980\ (22$ U.S.C. |
| 7 | 3903). |
| 8 | (8) WORKFORCE.—The term "workforce" |
| 9 | means an individual serving in a position— |
| 10 | (A) in the civil service (as defined in sec- |
| 11 | tion 2101 of title 5, United States Code); |
| 12 | (B) as a member of the Foreign Service; or |
| 13 | (C) as an officer or enlisted member of an |
| 14 | armed force. |
| 15 | SEC. 5. COLLECTION, ANALYSIS, AND DISSEMINATION OF |
| | SEC. 9. COLLECTION, ANALISIS, AND DISSEMINATION OF |
| 16 | WORKFORCE DATA. |
| 16 17 | |
| | WORKFORCE DATA. |
| 17 | workforce data. (a) Initial Reporting.— |
| 17 18 | WORKFORCE DATA. (a) INITIAL REPORTING.— (1) IN GENERAL.—Not later than 180 days |
| 17 18 19 | WORKFORCE DATA. (a) INITIAL REPORTING.— (1) IN GENERAL.—Not later than 180 days after the date of enactment of this Act, and subject |
| 17 18 19 20 | WORKFORCE DATA. (a) INITIAL REPORTING.— (1) IN GENERAL.—Not later than 180 days after the date of enactment of this Act, and subject to paragraph (3), the head of each national security |
| 17 18 19 20 21 | WORKFORCE DATA. (a) INITIAL REPORTING.— (1) IN GENERAL.—Not later than 180 days after the date of enactment of this Act, and subject to paragraph (3), the head of each national security agency shall make available to the public, the appro- |

| 1 | mation regarding the diversity and inclusion efforts |
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| 2 | of the workforce of the national security agency. |
| 3 | (2) CONTENTS.—A report made available under |
| 4 | paragraph (1)— |
| 5 | (A) shall include unclassified reports and |
| 6 | barrier analyses relating to diversity and inclu- |
| 7 | sion efforts; |
| 8 | (B) shall include aggregate demographic |
| 9 | data— |
| 10 | (i) by segment of the workforce of the |
| 11 | national security agency and grade or |
| 12 | rank; |
| 13 | (ii) relating to attrition and promotion |
| 14 | rates; |
| 15 | (iii) that addresses the compliance of |
| 16 | the national security agency with validated |
| 17 | inclusion metrics, such as the New Inclu- |
| 18 | sion Quotient index score; and |
| 19 | (iv) that provides demographic com- |
| 20 | parisons to the relevant nongovernmental |
| 21 | labor force and the relevant civilian labor |
| 22 | force; |
| 23 | (C) shall include an analysis of applicant |
| 24 | flow data, including the percentage and level of |
| 25 | positions for which data are collected, and a |

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discussion of any resulting policy changes or recommendations;

3 (D) shall include demographic data relat4 ing to participants in professional development
5 programs of the national security agency and
6 the rate of placement into senior positions for
7 participants in such programs;

8 (E) shall include any voluntarily collected 9 demographic data relating to the membership of 10 any external advisory committee or board to 11 which individuals in senior positions in the na-12 tional security agency appoint members; and

13 (F) may include data in proportions or
14 percentages to account for concerns relating to
15 the protection of classified information.

16 (3) INTELLIGENCE COMMUNITY.—The elements
17 of the intelligence community may make available a
18 single report with respect to the diversity and inclu19 sion efforts of the workforce of the elements of the
20 intelligence community under this subsection.

(b) UPDATES.—After making available a report
under subsection (a), the head of each national security
agency shall annually provide a report (which may be provided as part of an annual report required under another
provision of law) to the workforce of the national security

agency (including senior leadership), the public, and the
 appropriate congressional committees that includes—

3 (1) demographic data and information on the
4 status of diversity and inclusion efforts of the na5 tional security agency;

6 (2) an analysis of applicant flow data, including
7 the percentage and level of positions for which data
8 are collected, and a discussion of any resulting policy
9 changes or recommendations; and

(3) demographic data relating to participants in
professional development programs of the national
security agency and the rate of placement into senior positions for participants in such programs.

14 (c) EXPAND THE COLLECTION AND ANALYSIS OF15 VOLUNTARY APPLICANT FLOW DATA.—

16 (1) IN GENERAL.—The head of each national 17 security agency shall develop a system to collect and 18 analyze applicant flow data for as many positions 19 within the national security agency as practicable, in 20 order to identify areas for improvement in attracting 21 diverse talent, with particular attention to senior 22 and management positions.

(2) PHASED IMPLEMENTATION.—The collection
of applicant flow data may be implemented by the
head of a national security agency in a phased ap-

proach commensurate with the resources available to
 the national security agency.

3 (d) Identify Additional Categories for Vol4 UNTARY DATA COLLECTION OF CURRENT EMPLOYEES.—

5 (1) IN GENERAL.—The head of each national 6 security agency may submit to the Office of Manage-7 ment and Budget and to the appropriate congres-8 sional committees the recommendation of the head 9 regarding whether the national security agency 10 should voluntarily collect more detailed data on de-11 mographic categories in addition to the race and eth-12 nicity categories specified in the statistical policy di-13 rective issued by the Office of Management and 14 Budget entitled "Standards for Maintaining, Col-15 lecting, and Presenting Federal Data on Race and Ethnicity". 16

17 (2) PROCESS.—In making a recommendation
18 under paragraph (1), the head of a national security
19 agency shall—

20 (A) engage in close consultation with inter21 nal stakeholders, such as employee resource or
22 affinity groups;

(B) ensure that there is clear communication with the workforce of the national security
agency—

| 1 | (i) to explain the purpose of the po- |
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| 2 | tential collection of such data; and |
| 3 | (ii) regarding legal protections relat- |
| 4 | ing to any anticipated use of such data; |
| 5 | and |
| 6 | (C) ensure adherence to relevant standards |
| 7 | and guidance issued by the Federal Govern- |
| 8 | ment. |
| 9 | SEC. 6. PROFESSIONAL DEVELOPMENT OPPORTUNITIES |
| 10 | AND TOOLS. |
| 11 | (a) Conduct Stay and Exit Interviews or Sur- |
| 12 | VEYS.— |
| 13 | (1) RETAINED MEMBERS.—Each national secu- |
| 14 | rity agency shall conduct periodic interviews with a |
| 15 | representative cross-section of the members of the |
| 16 | workforce of the national security agency to— |
| 17 | (A) understand the reasons of the mem- |
| 18 | bers for remaining in a position in the national |
| 19 | security agency; and |
| 20 | (B) receive feedback on workplace policies, |
| 21 | professional development opportunities, and |
| 22 | other issues affecting the decision of the mem- |
| 23 | bers to remain. |
| 24 | (2) Departing members.—Each national se- |
| 25 | curity agency shall provide an opportunity for an |

| 1 | exit interview or survey to each member of the work- |
|----|----------------------------------------------------------|
| 2 | force of the national security agency who separates |
| 3 | from service with the national security agency, to |
| 4 | understand better the reasons of the member for |
| 5 | leaving. |
| 6 | (3) Use of analysis from interviews and |
| 7 | SURVEYS.—Each national security agency shall ana- |
| 8 | lyze and use information obtained through interviews |
| 9 | and surveys under paragraphs (1) and (2) , including |
| 10 | to evaluate— |
| 11 | (A) if and how the results of the interviews |
| 12 | differ by gender, race, national origin, sexual |
| 13 | orientation, gender identity, disability status, |
| 14 | and other demographic categories; and |
| 15 | (B) whether to implement any policy |
| 16 | changes or make any recommendations as part |
| 17 | of a report required under section 5. |
| 18 | (b) EXPAND PROVISION OF PROFESSIONAL DEVEL- |
| 19 | OPMENT AND CAREER ADVANCEMENT OPPORTUNITIES.— |
| 20 | (1) IN GENERAL.—Each national security agen- |
| 21 | cy is authorized to expand professional development |
| 22 | opportunities that support the mission needs of the |
| 23 | national security agency, such as— |
| 24 | (A) academic programs; |
| 25 | (B) private-public exchanges; and |

| 1 | (C) detail assignments to relevant positions |
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| 2 | in— |
| 3 | (i) private or international organiza- |
| 4 | tions; |
| 5 | (ii) State, local, and tribal govern- |
| 6 | ments; |
| 7 | (iii) other branches of the Federal |
| 8 | Government; or |
| 9 | (iv) professional schools of inter- |
| 10 | national affairs. |
| 11 | (2) TRAINING FOR SENIOR POSITIONS.— |
| 12 | (A) IN GENERAL.—Each national security |
| 13 | agency shall offer, or sponsor members of the |
| 14 | workforce of the national security agency to |
| 15 | participate in, a Senior Executive Service can- |
| 16 | didate development program or other program |
| 17 | that trains members of the workforce of the na- |
| 18 | tional security agency on the skills required for |
| 19 | appointment to senior positions in the national |
| 20 | security agency. |
| 21 | (B) REQUIREMENTS.—In determining |
| 22 | which members of the workforce of the national |
| 23 | security agency are granted professional devel- |
| 24 | opment or career advancement opportunities, a |
| 25 | national security agency shall— |

| 1 | (i) ensure any program offered or |
|----|----------------------------------------------|
| 2 | sponsored by the national security agency |
| 3 | under subparagraph (A) comports with the |
| 4 | requirements of subpart C of part 412 of |
| 5 | title 5, Code of Federal Regulations, or |
| 6 | any successor thereto, including merit |
| 7 | staffing and assessment requirements; |
| 8 | (ii) consider the number of expected |
| 9 | vacancies in senior positions as a factor in |
| 10 | determining the number of candidates to |
| 11 | select for such programs; |
| 12 | (iii) understand how participation in |
| 13 | any program offered or sponsored by the |
| 14 | national security agency under subpara- |
| 15 | graph (A) differs by gender, race, national |
| 16 | origin, sexual orientation, gender identity, |
| 17 | disability status, and other demographic |
| 18 | categories; and |
| 19 | (iv) actively encourage participation |
| 20 | from a range of demographic categories, |
| 21 | especially from categories with consistently |
| 22 | low participation. |
| 23 | (3) TRACKING DATA.—Each national security |
| 24 | agency shall— |

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(A) track demographic data relating to 2 participants in professional development programs and the rate of placement into senior po-3 4 sitions for participants in such programs; and

5 (B) evaluate such data on an annual basis 6 to look for ways to improve outreach and re-7 cruitment for such programs consistent with 8 merit system principles.

(c) Assignment Restrictions.— 9

10 (1) IN GENERAL.—Each national security agen-11 cy that places assignment restrictions on members of 12 the workforce of the national security agency or oth-13 erwise prohibits certain geographic assignments due 14 to a security determination shall ensure a review 15 process exists for such a restriction or prohibition 16 that is consistent with part 147 of title 32, Code of 17 Federal Regulations (relating to adjudicative guide-18 lines for determining eligibility for access to classi-19 fied information), or any successor thereto, and any 20 applicable counterintelligence considerations.

21 (2) NOTICE.—Each national security agency 22 shall ensure that members of the workforce of the 23 national security agency affected by a restriction or 24 prohibition described in paragraph (1) are informed

of the right to seek review and the process for doing
 so.

3 SEC. 7. LEADERSHIP ENGAGEMENT AND ACCOUNTABILITY.

4 (a) REWARD AND RECOGNIZE EFFORTS TO PRO-5 MOTE DIVERSITY AND INCLUSION.—

6 (1) IN GENERAL.—Each national security agen-7 cy shall implement performance and advancement 8 requirements that reward and recognize the efforts 9 of individuals in senior positions and supervisors in 10 the national security agency in fostering an inclusive 11 environment and cultivating talent consistent with 12 merit system principles, such as through participa-13 tion in mentoring programs or sponsorship initia-14 tives, recruitment events, and other similar opportunities. 15

16 (2) OUTREACH EVENTS.—Each national secu-17 rity agency shall create opportunities for individuals 18 in senior positions and supervisors in the national 19 security agency to participate in outreach events and 20 to discuss issues relating to diversity and inclusion 21 with the workforce on a regular basis, including with 22 employee resource groups.

(b) COLLECT AND DISSEMINATE VOLUNTARY DEMOGRAPHIC DATA OF EXTERNAL ADVISORY COMMITTEES
AND BOARDS.—Each national security agency that has an

external advisory committee or board to which individuals
 in senior positions in the national security agency appoint
 members is strongly encouraged by Congress to—

4 (1) collect voluntary demographic data from the
5 members of committee or board; and

6 (2) ensure the external advisory committee or
7 board is developed, reviewed, and carried out by
8 teams that represent the diversity of the organiza9 tion.

10 (c) EXPAND TRAINING ON BIAS, INCLUSION, AND
11 FLEXIBLE WORK POLICIES.—

12 (1) IN GENERAL.—Each national security agen13 cy shall—

(A) expand the provision of training on
bias, including implicit or unconscious bias,
micro-inequities, inclusion, and flexible work
policies to the workforce of the national security
agency; and

19 (B) make micro-inequities and bias train20 ing, including on implicit or unconscious bias,
21 mandatory for—

(i) individuals in senior positions inthe national security agency;

| 1 | (ii) other individuals holding manage- |
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| 2 | ment positions in the national security |
| 3 | agency; and |
| 4 | (iii) individuals in positions at the na- |
| 5 | tional security agency having responsibil- |
| 6 | ities relating to outreach, recruitment, hir- |
| 7 | ing, career development, promotion, or se- |
| 8 | curity clearance adjudication. |
| 9 | (2) Phased implementation.—The provision |
| 10 | of training required under paragraph (1) may be im- |
| 11 | plemented in a phased approach commensurate with |
| 12 | the resources of the national security agency. |
| 13 | (3) Low inclusion scores.—Each national |
| 14 | security agency shall make available training on im- |
| 15 | plicit or unconscious bias for members of the work- |
| 16 | force of a bureau, directorate, division, office, or |
| 17 | other component of the national security agency the |
| 18 | inclusion scores of which, such as those measured by |
| 19 | the New Inclusion Quotient index score, rank below |
| 20 | the average for the national security agency for a |
| 21 | period of 3 years or longer. |
| 22 | (4) Best practices.—Each national security |
| 23 | agency shall give special attention to ensuring the |
| 24 | continuous incorporation of research-based best |

including best practices relating to addressing the
 intersection between certain demographics and job
 positions.

4 SEC. 8. RECRUITMENT.

5 (a) IN GENERAL.—Each national security agency6 should—

7 (1) continue to seek a diverse and talented pool8 of applicants;

9 (2) have diversity recruitment as a goal of the 10 human resources department or equivalent entity, 11 with outreach at appropriate colleges, universities, 12 and diversity organizations and professional associa-13 tions; and

14 (3) intensify, identify, and build relationships15 with qualified potential minority candidates.

16 (b) SCOPE.—The diversity recruitment initiatives de-17 scribed in subsection (a) should include—

(1) recruiting at historically Black colleges and
universities, Hispanic-serving institutions, women's
colleges, and colleges that typically serve majority
minority populations;

(2) sponsoring and recruiting at job fairs inurban communities;

1 (3) placing job advertisements in newspapers, 2 magazines, and job sites oriented toward diverse 3 groups; 4 (4) providing opportunities through highly re-5 spected. international leadership programs, that 6 focus on diversity recruitment and retention; and 7 (5) cultivating partnerships with organizations 8 dedicated to the advancement of the profession of 9 international affairs and national security to advance 10 shared diversity goals. 11 SEC. 9. GENERAL PROVISIONS. 12 (a) RULE OF CONSTRUCTION.—Nothing in this Act shall be construed to impair or otherwise affect— 13 14 (1) the authority granted by law to an executive 15 department, agency, or the head thereof, or the sta-16 tus of that executive department or agency within

17 the Federal Government; or

18 (2) the functions of the Director of the Office
19 of Management and Budget relating to budgetary,
20 administrative, or legislative proposals.

(b) IMPLEMENTATION.—This Act shall be imple-mented consistent with applicable law.

(c) NO PRIVATE RIGHT OF ACTION.—This Act is not
intended to, and does not, create any right or benefit, substantive or procedural, enforceable at law or in equity by

- 1 any party against the United States, its departments,
- 2 $\,$ agencies, or entities, its officers, employees, or agents, or
- 3 any other person.